BAKER BOTTS LLP

Please type a plus sign (+) inside this box ➜ ⊞

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	A34645 (071308.0239)
First Inventor	Martin Kiesel
Title	Device and Process * see attached
Express Mail Label No.	EL866934209US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. [✔] Fee Transmittal Form (e.g., PTO/SB/17)
   (Submit an original and a duplicate for fee processing)

2. [ ] Applicant claims small entity status.
   See 37 CFR 1.27.

3. [✔] Specification   [Total Pages 7]
   (preferred arrangement set forth below)
   - Descriptive title of the invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to sequence listing, a table,
     or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description   [3]
   - [✔] Claim(s)   [Total Sheets   ]
   - [✔] Abstract of the Disclosure [Total Sheets 1]

4. [✔] Drawing(s) (35 U.S.C. 113)   [Total Sheets 2]

5. Oath or Declaration   [Total Pages   ]
   a. [ ] Newly executed (original or copy)
   b. [ ] Copy from a prior application (37 CFR 1.63 (d))
         (for continuation/divisional with Box 18 completed)
      i. [ ] **DELETION OF INVENTOR(S)**
         Signed statement attached deleting inventor(s)
         named in the prior application, see 37 CFR
         1.63(d)(2) and 1 33(b).

6. [ ] Application Data Sheet. See 37 CFR 1.76

7. [ ] CD-ROM or CD-R in duplicate, large table or
      Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)
   a. [ ] Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. [ ] CD-ROM or CD-R (2 copies); or
      ii. [ ] paper
   c. [ ] Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. [ ] Assignment Papers (cover sheet & document(s))

10. [ ] 37 CFR 3.73(b) Statement   [ ] Power of
        (when there is an assignee)   Attorney

11. [ ] English Translation Document *(if applicable)*

12. [ ] Information Disclosure   [ ] Copies of IDS
        Statement (IDS)/PTO-1449   Citations

13. [ ] Preliminary Amendment

14. [✔] Return Receipt Postcard (MPEP 503)
        (Should be specifically itemized)

15. [ ] Certified Copy of Priority Document(s)
        (if foreign priority is claimed)

16. [ ] Request and Certification under 35 U.S.C. 122
        (b)(2)(B)(i). Applicant must attach form PTO/SB/35
        or its equivalent.

17. [ ] Other: ....

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18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

[ ] Continuation   [ ] Divisional   [ ] Continuation-in-part (CIP)   of prior application No.:_____

Prior application information: Examiner _____   Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

[✔] Customer Number or Bar Code Label   **21003** *(Insert Customer No. or Attach bar code label here)*   or [ ] Correspondence address below

Name	
Address	
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Bradley B. Geist	Registration No. (Attorney/Agent)	27,551
Signature		Date	9/27/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT ($) 840

Complete if Known

Application Number	t/b/a
Filing Date	September 27, 2001
First Named Inventor	Martin Kiesel
Examiner Name	t/b/a
Group Art Unit	t/b/a
Attorney Docket No.	A34645 (071308.0239)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **02-4377**

Deposit Account Name: **Baker Botts LLP**

☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☑ **Payment Enclosed:**
☑ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
710	355	Utility filing fee	710
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) ($) 710

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	16 -20** = 0	X	= 0
Independent Claims	2 -3** = 0	X	= 0
Multiple Dependent		=	

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 0

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	130
2,520	2,520	For filing a request for *ex parte* reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid   **SUBTOTAL (3)** ($) 130

SUBMITTED BY

			Complete (if applicable)	
Name (Print/Type)	Bradley B. Geist	Registration No (Attorney/Agent) 27,551	Telephone	(212) 408-2562
Signature			Date	9/27/01

*Question 4 Addendum

    4.a.   Drawings are   ☑ formal   ☐ informal

* Question 5 Addendum

    5.c. ☐ An unsigned oath or declaration is included.

* Question 8 Addendum

    8.d. ☐ A sequence submission will follow.

* Question 9 Addendum

    9.a. ☑ Assignment documents will follow.

    9.b. ☐ Assignment documents have been filed in
           parent application No.

* Question 11 Addendum

    11.a.☑ English translation will follow.

* Question 12 Addendum

    12.a.☑ Copies of IDS citations will follow.

* Question 15 Addendum

    15.a.☑ Certified copies of priority documents will follow.

    15.b.☐ Certified copies of priority documents have been filed in parent
           application No.

Use the space below for additional information

Title (continued):

Device and Process for Construction of Operational Components

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Att Docket #: A34645 (071308.0239)

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No ._____

Prior application information. Examiner_____ Group Art Unit· _____

18b. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.,_____

Prior application information. Examiner_____ Group Art Unit: _____

18c. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:_____

Prior application information: Examiner_____ Group Art Unit: _____

☐ Amend the specificaton by inserting before the first line the sentence(s)

☐ "This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ "This application is based upon·

prior application No.:   , incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:

prior application No.   , incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:

prior application No.:   , incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:

prior application No.:   , incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:

prior application No.   , incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon:

prior application No.   , incorporated by reference herein

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

Country: __Germany__   Serial No: _101 077 79.3_   Filing Date: _2/16/01_

Country: __Germany__   Serial No· _101 295 64.2_   Filing Date: _6/20/01_

Country: _____   Serial No:_____   Filing Date:_____

Provisional Applications:

Serial No:_____   Filing Date:_____

Serial No:_____   Filing Date:_____

Serial No:_____   Filing Date:_____
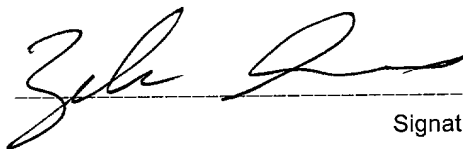
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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence* is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on September 27, 2001   .
                    Date

_____
                                        Signature

ZEKE SERRANO
_____
        Typed or printed name of person of signing Certificate

[✓] Express Mail Label No: EL866934209US

* Correspondence